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**DEC 18 2001**

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**PETITIONS OFFICE****FACSIMILE TRANSMITTAL SHEET**Deliver to: Office of PetitionsFirm Name: U.S. PATENT AND TRADEMARK OFFICEFax Number: (703) 308-6916 Telephone No.: \_\_\_\_\_From: Thomas M. Coester Reg. No.: 39,637Date: December 18, 2001 Time: \_\_\_\_\_BSTZ Matter: 004956.P005X Number of pages including cover sheet: 9In Re Patent Application of: NextEngine, Inc.Application No.: 09/927,730Filed: August 9, 2001Title: IMAGE SYSTEM MONITORED OR CONTROLLED TO ENSURE FIDELITY OF FILE CAPTURE

Enclosed are the following documents:

<input type="checkbox"/> Amendment/Response (___ pgs)	<input type="checkbox"/> Declaration & PofA (___ pgs)	<input type="checkbox"/> Reply Brief (___ pgs)
<input type="checkbox"/> Appeal Brief (___ pgs) (in triplicate)	<input type="checkbox"/> Drawings: ___ # of sheets w/ ___ figs.	<input type="checkbox"/> Small Entity Statement
<input type="checkbox"/> Utility Application (___ pgs)	<input type="checkbox"/> ___ Month Petition re Ext. of Time	<input type="checkbox"/> Resp. to Notice of Missing Parts (___ pgs)
<input type="checkbox"/> 1.53(b) Cont. Application (___ pgs)	<input type="checkbox"/> IDS & PTO 1449 (___ pgs)	<input checked="" type="checkbox"/> Transmittal Letter (1 pg)
<input type="checkbox"/> 1.53(b) Divisional Application (___ pgs)	<input type="checkbox"/> Issue Fee Transmittal (___ pgs)	<input checked="" type="checkbox"/> Fee Transmittal (1 pg) (in duplicate)
<input type="checkbox"/> 1.53(b) CIP Application (___ pgs)	<input type="checkbox"/> Notice of Appeal (___ pgs)	<input checked="" type="checkbox"/> Deposit Account Authorization DEPOSIT ACCOUNT NO. 02-2666
<input type="checkbox"/> 1.53(d) CPA Transmittal (___ pgs)	<input checked="" type="checkbox"/> Petition (2 pgs)	<input checked="" type="checkbox"/> Other: Return Receipt Postcard (1)
<input type="checkbox"/> PCT Application (___ pgs)	<input type="checkbox"/> Power of Attorney (___ pgs)	<input checked="" type="checkbox"/> Other: Declaration (3)
<input type="checkbox"/> Provisional Application (___ pgs)	<input type="checkbox"/> Declaration by Inventor(s) (___ pgs)	<input type="checkbox"/> Other:
<input type="checkbox"/> Assignment & Cover Sheet (___ pgs)	<input type="checkbox"/> Supplemental Declaration (___ pgs)	<input type="checkbox"/> Other:
<input checked="" type="checkbox"/> Certificate of Transmission by Fax	<input type="checkbox"/> Preliminary Amendment (___ pgs)	<input type="checkbox"/> Other:

**CERTIFICATE OF TRANSMISSION**

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Date of Transmission December 18, 2001

  
 Susan M. Ocegueda

PTO/S:3/21(08/00)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>		Application Number	09/927,730
		Filing Date	August 9, 2001
		First Named Inventor	Mark S. Knighton
		Group Art Unit	2131
		Examiner Name	Not Assigned
Total Number of Pages in This Submission	9	Attorney Docket Number	4956P005X

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input checked="" type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 5px;">         -Return Receipt Postcard (1)          -Declaration (3)       </div>
Remarks		<b>FAX RECEIVED</b> <b>DEC 18 2001</b> <b>PETITIONS OFFICE</b>

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Thomas M. Coester, Reg. No. 39,637 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
Signature	<i>Thomas Coester</i>
Date	December 18, 2001

CERTIFICATE OF MAILING (OR TRANSMISSION)			
I hereby certify that this correspondence is being facsimile transmitted to: Commissioner of Patents and Trademarks, Attn: Office of Petitions at (703) 308-6916 on: <span style="border: 1px solid black; padding: 2px;">December 18 2001</span>			
Typed or printed name	Susan M. Ocegueda		
Signature	<i>Susan M. Ocegueda</i>	Date	12/18/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PTO/ B/17 (10-01)

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**FEE TRANSMITTAL**  
**for FY 2002**

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT (\$)****Complete if Known**

Application Number	09/927,730
Filing Date	08/09/01
First Named Inventor	Mark S. Knighton, et al.
Examiner Name	Not Assigned
Group Art Unit	2131
Attorney Docket Number	4956P005X

**METHOD OF PAYMENT (check one)**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit  
Account  
Number

02-2666

Deposit  
Account  
Name

Blakely, Sokoloff, Taylor &amp; Zafman LLP

- ☒
- Charge Any Additional Fee Required
- 
- Under 37CFR 1.16 and 1.17

☐ Applicant claims small entity status.  
See 37 CFR 1.27

- 2.
- ☐
- Payment Enclosed:

☐ Check ☐ Money  
Order ☐ Other**FEE CALCULATION****1. FILING FEE**

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	

**SUBTOTAL (1) (\$)****2. CLAIMS**

Total Claims	Extra	Fee from below	Fee Paid
37			
Independent Claims	8		

Multiple Dependent Claims

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
103 18	203 9	Claims in excess of 20	
102 80	202 40	Independent claims in excess of 3	
104 270	204 135	Multiple Dependent claim	
109 80	209 40	Reissue Independent claims over original patent	
110 18	210 9	Reissue claims in excess of 20 and over original patent	

**SUBTOTAL (2) (\$)**

\*or number previously paid, if greater. For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEE**

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920	112 920	Requesting publication of SIF prior to Examiner action	
113 1,840	113 1,840	Requesting publication of SIF after Examiner action	
115 110	215 55	Extension for response within first month	
116 390	216 195	Extension for response within second month	
117 890	217 445	Extension for response within third month	
118 1,390	218 695	Extension for response within fourth month	
128 1,890	228 945	Extension for response within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidably	
141 1,240	241 620	Petition to revive - unintentionally	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 710	246 355	Filing a submission after final rejection (37 CFR 1.129(a))	
149 710	249 355	For each additional invention to be examined (37 CFR 1.129(b))	
179 710	279 355	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify)

**SUBTOTAL (3) (\$)**

\* Reduced by Basic Filing Fee Paid

**SUBMITTED BY**Typed or  
Printed Name

Thomas M. Coester, Reg. No. 39,637

Signature

*Thomas M. Coester*

Date

12/18/2001

**Complete (if applicable)**

Reg. Number

Deposit Account  
User ID

02-2666

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